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Dated: November 25, 2002

Signature:

Robert B. Cohen

Docket No.: SCEI 3.0-059  
(PATENT)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of:  
Terasaka et al.

Application No.: 09/814,568

Group Art Unit: 2185

Filed: March 22, 2001

Examiner: Not Yet Assigned

For: APPARATUS AND METHOD FOR  
REPRODUCING STREAM DATA AND  
RECORDING MEDIUM THEREFOR

Commissioner for Patents  
Washington, DC 20231

**INFORMATION DISCLOSURE STATEMENT**

Dear Sir:

It is respectfully requested that the references listed on the enclosed form be made of record and considered with respect to the above-referenced U.S. patent application. The listed references were cited in an Official Action issued in connection with applicant's corresponding Japanese patent application. An English translation of the Official Action and a copy of each reference are enclosed. Submission of the present Information Disclosure Statement should not be taken as an admission that the cited references are legally available prior art or that the same are pertinent or material.

In the event that any fee is due in connection with the present Information Disclosure Statement, the Commissioner is hereby authorized to charge the same to our Deposit Account No. 12-1095.

Dated: November 25, 2002

Respectfully submitted,

By

Robert B. Cohen

Registration No.: 32,768

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Substitute for form 1449A/PTO				<b>Complete if Known</b>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)				<b>Application Number</b>	09/814,568
				<b>Filing Date</b>	March 22, 2001
				<b>First Named Inventor</b>	Isamu Terasaka
				<b>Art Unit</b>	2185
				<b>Examiner Name</b>	Not Yet Assigned
<b>Sheet</b>	1	of	1	<b>Attorney Docket Number</b>	SCEI 3.0-059

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)				
	BA	WO97/39451 A1	10-23-1997	Matsushita Electric Industrial Co., Ltd.		**
	BB	WO98/21722 A1	05-22-1998	Matsushita Electric Industrial Co., Ltd.		**
	BC	JP-10-162552 A	06-19-1998	Sony Corp.		**
	BD	JP-11-146338 A	05-20-1999	Sony Tektronix Corp.		**

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See attached Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the application number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

\*\* With English Abstract Only

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Examiner Signature		Date Considered	
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Reference No. SCEI99206

Dispatch No. 292954

Dispatch Date: 09/10/2002 1/3

## Notification of Reasons for Refusal

Patent Application No.	JP2000-080302
Drafting Date:	August 29, 2002
Examiner of JPO	M. Miyashita 2946 5Q00
Representative/Applicant	Iwao Mishina et al.
Applied Provision	Patent Law Section 29(2), Patent Law Section 36

This application should be refused for the reasons mentioned below. If the applicant has any arguments against the reasons, such arguments should be submitted within 60 days from the date on which this notification was dispatched.

### Reasons

#### [Reasons 1]

The inventions in the claims listed below of the subject application should not be granted a patent under the provision of Patent Law Section 29(2) since it could have easily been made by persons who have common knowledge in the technical field to which the inventions pertain, on the basis of the inventions described in the publications listed below which had been distributed in Japan or foreign countries prior to the filing of the subject application.

Note (The list of cited documents etc. is shown below.)

Claims 1 - 9:

Cited references: 1 - 4

Remark:

In the cited reference 1, there is a description of "an optical disc on which branch information is recorded".

In the cited reference 2, there is a description of "a recording medium on which reproduction path information is recorded".

In the cited reference 3, there is a description of "recording medium on which a playlist is recorded".

In the cited reference 4, there is a description of "reproducing data based on the program list representing the reproducing order of data".

Also, as for Claims 3 and 4, in the cited references 1 - 4, a person skilled

*to be continued.*

in the art can easily make a configuration as required, in which information indicating a number of times for branching or information indicating whether or not to permit branching, is recorded.

# LIST OF CITED REFERENCES

## [Cited References]

1. International Publication WO 97/39451 pamphlet (1997)
2. International Publication WO 98/21722 pamphlet (1998)
3. Patent Application Laid-open No. Hei 11-146338
4. Patent Application Laid-open No. Hei 10-162552

## [Reason 2]

The description of the specification and drawings of this application does not comply with the requirements under Patent Law Section 36 (4) and (6) with respects to the points below.

## Note

Claim: 4

Remark:

*[Translation of the rejections under article 36 is omitted, since it refers to formality deficiency.]*

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## Record of the result of prior art search

- Technical field(s) to be searched      Intl. Cl(7)   G11B 20/10

*to be continued*

Dispatch No. 292954

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- Prior art document(s) none

This record is not a component(s) of the reason(s) for refusal.